HFEA License Applications

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1. PURPOSE

This Standard Operation Procedure (SOP) describes the procedure for applying to the Human Fertilisation and Embryology Authority (HFEA) for a license to carry out research activities that fall under the 1990 Human Fertilisation and Embryology Act (HFE Act).

2. INTRODUCTION

It is illegal to carry out certain activities without a license from the HFEA under the regulatory requirements of the 1990 HFE Act. Those activities requiring such a license are:

- Bringing about the creation of an embryo in vitro (embryo includes an egg in the process of fertilisation) either for treatment or research
- Keeping or using an embryo either for treatment or research
- Storing any gametes
- Using donated sperm or donated eggs in the course of providing treatment services for any woman
- Treatment involving the use of fresh partner gametes
- Non Medical Fertility Service

The HFEA issue licenses that cover treatment, storage or research. For up-to-date information, please consult [http://www.hfea.gov.uk/](http://www.hfea.gov.uk/)

3. PROCEDURE

3.1 Application for an HFEA License

Please note – prior to applying for a licence for research, approval from a NHS Research Ethics Committee must be in place. For further details on how to do this, please see relevant SOP.

The majority of researchers contact the HFEA to discuss their proposed research before they submit an application for a licence (020 7291 8200). Existing licence holders can liaise directly with the HFEA Regulation Department on renewals and evaluations.

The application for an initial HFEA Research licence is available from: http://www.hfea.gov.uk/docs/Initial_enquiry_form_-_v1.1.doc
This form should be submitted by email to regulationofresearch@hfea.gov.uk

Guidance on the application is available from: http://www.hfea.gov.uk/3388.html

The HFEA recommend that you arrange to meet with relevant HFEA staff before submitting a formal application.

Please note that copies of your application and any other information you submit to the Authority may be published by the HFEA.
3.2 Information to accompany License applications

The following documents should be sent together with treatment licence applications (as detailed) on the application form:

- CVs of all relevant people (on the HFEA CV form)
- Information leaflets - copies of any information leaflets issued by the centre
- Consent forms - if different from the HFEA standard consent form
- Treatment record forms - blank treatment record forms (not applicable for research applications)
- Standard operating procedures as used by the centre’s staff
- Application fee

You can see the timeline from application to approval here: http://www.hfea.gov.uk/docs/2011-10-07_-_New_Premises_timeline_(2).pdf

The administration fee is currently £500 for most projects.

Projects involving the derivation of human embryonic stem cells or cell nuclear replacement incur an administration fee of £750, which reflects on the increased complexity and rigour required for the licensing of such projects.

3.3 Initial consideration of application

Applications together with the initial fee should be sent to:

Regulation Department (Research)
Human Fertilisation and Embryology Authority
21 Bloomsbury Street
London WC1B 3HF

Or via email to regulationofresearch@hfea.gov.uk

Receipt of all applications will be acknowledged in writing by the HFEA. The HFEA will then check the application for any omissions, and contact you if more documentation is required.

The HFEA Regulation Department then initiates peer reviews which determine whether the application;

- Comes within the statutory requirements of the HFE Act
- Requires human embryos to fulfil its aims and objectives
- Requires the numbers and types of embryos described in the application
- Meets the requirements of the HFEA Code Of Practice

3.4 Initial Inspection

Under the terms of the HFE Act, the HFEA are required to inspect the premises where the proposed licensed activities will be carried out before granting a licence. An inspection will be organised once a full application has been received and peer reviews have been completed.

Inspection visits normally include:

- Meetings with members of staff
- A tour of the centre
• An audit of patient records (if applicable)
• An audit of laboratory records

Visits will normally last between half a day and a full day depending on the size of
the centre. On the visit the inspection team will be expected to cover the areas
outlined in the HFEA's Inspection Protocols (www.hfea.gov.uk).

The inspection team will, after the visit, prepare a report on the centre for the
HFEA Licence Committee considering the application.

For research applications the HFEA advise that the composition of the team that
visits and elements of the inspection may need to be changed to assess the
project appropriately.

3.5 Consideration by a HFEA License Committee
The relevant HFEA Licence Committee will look at your application and the report
of the inspection team together with any other information which the Committee
considers relevant. The Committee will consider the application in relation to the
requirements of the HFE Act, the Authority's directions and the provisions of the

Once a Licence Committee has come to a decision regarding an application, it will
write informing the named Person Responsible and any Nominal Licensee of its
decision. This decision will be:

• **Granting of a licence** - if the Committee decides to grant a licence, it will
  inform the Person Responsible and the Nominal Licensee and, on receipt
  of any additional fee which is due it will issue the licence. Each licence is
  subject to certain standard conditions which are set out in sections 12 to

• **Granting of a licence subject to specific conditions** - if the Committee
decides to grant a licence subject to certain conditions, it will inform the
Person Responsible and the Nominal Licensee of these further conditions
(in addition to those set out in sections 12 to 15 of the HFE Act).

• **Refusal of a licence** - if the Committee refuses to grant a licence, you will
be informed in writing (section 19(1) of the HFE Act).

The HFEA will only issue licences after the named applicant and the named
Person Responsible have accepted the licence conditions in writing and paid any
additional fee which is due. There is a 28 day appeal time within which you can
appeal against any Licence Committee decision.

3.6 Appeals Procedures
The HFE Act provides applicants with the right of appeal when a Licence
Committee refuses to grant or vary a licence. Full details are set out in sections
19, 20 and 21 of the HFE Act.
3.7 Progress and Final Reports
A research licence may be granted for up to three years. If a licence is granted for more than one year, then a progress report must be submitted on an annual basis. Progress reports can be accessed through the Clinic Portal on the HFEA webpage.
When the research licence has expired, and will not be renewed, then a final report must be submitted to the HFEA. Final reports can accessed through the Clinic Portal on the HFEA webpage.

4. REFERENCES
The Human Fertilisation and Embryology Act 1990:

The Human Fertilisation and Embryology Authority website: http://www.hfea.gov.uk/