Appendix 8

Guidance on Publication Lists

Note: Imperial is a signatory to DORA (Declaration on Research Assessment), which mandates that in hiring and promotion decisions, the scientific content of a paper, not the JIF (Journal Impact Factor), is what matters. More information on DORA is available at https://sfdora.org/

1. In addition to the research statement and the four papers selected for special consideration, all applications should link to a list of appropriate publications.
2. It is expected that candidates will have submitted their recent publications to Spiral/Symplectic and that these will therefore appear on the candidate's Imperial Academic Profile page. **For most candidates therefore, a link to this page should suffice as a Publication List.** The candidate should check this list is up-to-date. If, for whatever reason, a small number of recent publications do not appear on the candidate's Academic Profile, full references to these may be included on the application form.
3. Candidates may additionally submit a pdf of a full publication list to their department by January 29th 2027 for inclusion with their application. This is not required nor recommended. It is primarily for candidates for whom a substantial number of publications do not appear on the academic profile page. Such a list should be numbered and in chronological order.
4. Listed publications should be limited to books, refereed papers, refereed conference proceedings, and patents. (Books should distinguish clearly between those **"Authored," "Edited" and "Contributed to, but Edited by Others.")**
5. Normally, only work which has been published or firmly accepted for publication should be listed. Work not published because of its confidentiality may be listed only if copies can be made available for inspection by the promotion panel. Exceptionally, very long papers with a lengthy turnaround may be included, even as one of the four selected papers. Other non-refereed publications can be summarized separately, perhaps as evidence of Esteem.
6. The information relating to a paper, etc, must be presented in the form in which it was originally published; for example, authors must be listed in the order in which they appeared in the original. The status of any co-authors should be detailed where appropriate.
7. Example formats for references:

Publications in scientific and technical journals in the sequence: Author(s) and initials; title of article (in inverted commas); journal (abbreviated and underlined); volume (underlined); year (in brackets); pages.

8. Shah, A.B., Smith, C.D. and Chen, E.F., "Surfactant Absorption in Mixed Suspensions" J. Colloid Sci. 5, (2017), 170- 178.

Books in the sequence: Author(s) and initials; title (in inverted commas); name of publisher and place (in brackets); edition (if not the first); year.

11. Smith, C.D., "Particle Suspensions" (Havelock Press, London) 2016.

For contributions to books, the sequence will be clear from the following example:

17. Smith, C.D., "Mineral Suspensions" Ch. IX in "Liquid Solid Interaction" Harley, A.J. ed (Magnus Press, Boston) 2015, 520-543.

Published conference proceedings may be listed as follows:

20. Smith, C.D., "Mineral Slimes" in "Colloids in Industry" Overholm, P. ed (Arp Ltd., Stockholm) 2014, 142-149. (Proc. 2nd Int. Conf. on Colloid Chemistry, 2013)

Patents:

9. Smith, C.D., British Patent 2 747 801, 2016. "Improvements in Slime Processing"