

<b>Title</b>	<b>RCUK Open Access Grant – Fund Management Policy</b>
<b>Version</b>	5
<b>Reference</b>	VPAGR/01
<b>Effective Date of Policy</b>	1 April 2019
<b>Policy Review Date</b>	1 October 2019
<b>Policy Owner</b>	Vice Provost Advisory Group for Research
<b>Revision Timetable / Process</b>	Regular review of the policy is required to ensure it evolves to support the evolving Open Access landscape and College needs. The policy will be reviewed no less than biannually.

## 1. Fund allocation 2019/20

- 1.1 The block grant will be held centrally with no faculty, departmental allocation or period allocations.
- 1.2 The Library Services will manage allocation by APC request in accordance with the governing principles.
- 1.3 Authority to restrict the fund spend rests with the Vice Provost Advisory Group for Research.

## 2. Governing principles of the fund

- 2.1 The extent to which Gold Open Access can be supported is dependent on funding availability.<sup>1</sup>
- 2.2 The fund is to cover open access **article processing charges** (APCs) for peer reviewed journal articles and conference papers where:
  - a. the article has been accepted for publication in a journal compliant with the [RCUK Policy on Open Access](#) and is the output of RCUK-funded (wholly or partly) funded research (and this is acknowledged in the article)
  - b. the corresponding author is employed by Imperial College London (or a student thereof) and has elected to adopt a Gold Open Access route (as opposed to Green)
- 2.3 The fund is restricted to pay APCs only where:
  - a. the APC is charged by a fully open access publication title
  - b. the publication title does not provide a compliant green (self-archiving) open access route
- 2.4 Where the corresponding author holds a joint appointment with Imperial and another organisation, subject to the principles above and availability of funding, Imperial will meet the APC charges in cases where the acknowledged RCUK grant was awarded to Imperial.
- 2.5 Associated page, colour or image charges should not be charged to the fund – such charges are considered discretionary.

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<sup>1</sup> There are no plans to allocate College resources (other than those already allocated) to 'top up' RCUK funds.