

**Welcome to the Integrated Research Application System****IRAS Project Filter**

The integrated dataset required for your project will be created from the answers you give to the following questions. The system will generate only those questions and sections which (a) apply to your study type and (b) are required by the bodies reviewing your study. Please ensure you answer all the questions before proceeding with your applications.

Please complete the questions in order. If you change the response to a question, please select 'Save' and review all the questions as your change may have affected subsequent questions.

**Please enter a short title for this project (maximum 70 characters)**

Sample IRAS 2

**1. Is your project research?** Yes  No**2. Select one category from the list below:**

- Ionising Radiation for combined review of clinical trial of an investigational medicinal product
- Ionising Radiation and Devices form for combined review of combined trial of an investigational medicinal product and an investigational medical device
- Clinical investigation or other study of a medical device
- Other clinical trial to study a novel intervention or randomised clinical trial to compare interventions in clinical practice
- Basic science study involving procedures with human participants
- Study administering questionnaires/interviews for quantitative analysis, or using mixed quantitative/qualitative methodology
- Study involving qualitative methods only
- Study limited to working with human tissue samples (or other human biological samples) and data (specific project only)
- Study limited to working with data (specific project only)
- Research tissue bank
- Research database

**If your work does not fit any of these categories, select the option below:** Other study**2a. Please answer the following question(s):**

- a) Will you be taking new samples primarily for research purposes (i.e. not surplus or existing stored samples), including any removal of organs or tissue from the deceased?  Yes  No
- b) Will you be using surplus tissue or existing stored samples identifiable to the researcher?  Yes  No
- c) Will you be using only surplus tissue or existing stored samples not identifiable to the researcher?  Yes  No
- d) Will you be processing identifiable data at any stage of the research (including in the identification of participants)?  Yes  No
- e) Please confirm that you will be processing only anonymised or effectively pseudonymised data:  Yes  No

Yes, only anonymised or pseudonymised data  No

**3. In which countries of the UK will the research sites be located? (Tick all that apply)**

- England
- Scotland
- Wales
- Northern Ireland

**3a. In which country of the UK will the lead NHS R&D office be located:**

- England
- Scotland
- Wales
- Northern Ireland
- This study does not involve the NHS

**4. Which applications do you require?**

- IRAS Form
- Confidentiality Advisory Group (CAG)
- HM Prison and Probation Service (HMPPS)

Most research projects require review by a REC within the UK Health Departments' Research Ethics Service. Is your study exempt from REC review?

Yes  No

**4b. Please confirm the reason(s) why the project does not require review by a REC within the UK Health Departments Research Ethics Service:**

- Projects limited to the use of samples/data samples provided by a Research Tissue Bank (RTB) with generic ethical approval from a REC, in accordance with the conditions of approval.
- Projects limited to the use of data provided by a Research Database with generic ethical approval from a REC, in accordance with the conditions of approval.
- Research limited to use of previously collected, non-identifiable information
- Research limited to use of previously collected, non-identifiable tissue samples within terms of donor consent
- Research limited to use of acellular material
- Research limited to use of the premises or facilities of care organisations (no involvement of patients/service users as participants)
- Research limited to involvement of staff as participants (no involvement of patients/service users as participants)

**5. Will any research sites in this study be NHS organisations?**

Yes  No

**5a. Are all the research costs and infrastructure costs (funding for the support and facilities needed to carry out the research, e.g. NHS support costs) for this study provided by an NIHR Biomedical Research Centre, NIHR Applied Research Collaboration, NIHR Patient Safety Research Collaboration, or an NIHR HealthTech Research Centre in all study sites?**

Please see information button for further details.

Yes  No

Please see *information button for further details*.

**5b. Do you wish to make an application for the study to be considered for NIHR Research Delivery Network (RDN) Support and inclusion in the NIHR RDN Portfolio?**

Please see information button for further details.

Yes  No

*The NIHR Research Delivery Network (RDN) enables the health and care system to attract, optimise and deliver research across England e.g. by supporting the successful delivery of high-quality research, as an active partner in the research system.*

*If you select yes to this question, information from your IRAS submission will automatically be shared with the NIHR RDN.*

**6. Do you plan to include any participants who are children?**

Yes  No

**8. Do you plan to include any participants who are prisoners or young offenders in the custody of HM Prison Service or who are offenders supervised by the probation service in England or Wales?**

Yes  No

**9. Is the study or any part of it being undertaken as an educational project?**

Yes  No

**10. Will this research be financially supported by the United States Department of Health and Human Services or any of its divisions, agencies or programs?**

Yes  No

**11. Will identifiable patient data be accessed outside the care team without prior consent at any stage of the project (including identification of potential participants)?**

Yes  No

**Integrated Research Application System  
Application Form for**

The Chief Investigator should complete this form. The student should complete this form on behalf of the Chief Investigator. Guidance on the questions is available wherever you see this symbol displayed. We recommend reading the guidance first. The complete guidance and a glossary are available by selecting [Help](#).

Please define any terms or acronyms that might not be familiar to lay reviewers of the application.

**Short title and version number:** (maximum 70 characters - this will be inserted as header on all forms)

**PART A: Core study information****1. ADMINISTRATIVE DETAILS****A1. Full title of the research:****A3-1. Chief Investigator:**

Title Forename/Initials Surname

Post  
Qualifications  
ORCID ID  
Employer  
Work Address

Post Code  
Work E-mail  
\* Personal E-mail  
Work Telephone  
\* Personal Telephone/Mobile  
Fax

\* This information is optional. It will not be placed in the public domain or disclosed to any other third party without prior consent.

A copy of a current CV (maximum 2 pages of A4) for the Chief Investigator must be submitted with the application.

**A4. Who is the contact on behalf of the sponsor for all correspondence relating to applications for this project?**  
This contact will receive copies of all correspondence from REC and HRA/R&D reviewers that is sent to the CI.

Title Forename/Initials Surname

Address

Post Code  
E-mail  
Telephone  
Fax

**A5-1. Research reference numbers.** Please give any relevant references for your study:

Applicant's/organisation's own reference number, e.g. R & D (if available):

Sponsor's/protocol number:

Protocol Version:

Protocol Date:

Funder's reference number (enter the reference number or state not applicable):

Project website:

**Registry reference number(s):**

*The UK Policy Framework for Health and Social Care Research sets out the principle of making information about research publicly available. Furthermore: Article 19 of the World Medical Association Declaration of Helsinki adopted in 2008 states that "every clinical trial must be registered on a publicly accessible database before recruitment of the first subject"; and the International Committee of Medical Journal Editors (ICMJE) will consider a clinical trial for publication only if it has been registered in an appropriate registry. Please see guidance for more information.*

Additional reference number(s):

**A5-2. Is this application linked to a previous study or another current application?**

Yes  No

Please give brief details and reference numbers.

**2. OVERVIEW OF THE RESEARCH**

*To provide all the information required by review bodies and research information systems, we ask a number of specific questions. This section invites you to give an overview using language comprehensible to lay reviewers and members of the public. Please read the guidance notes for advice on this section.*

**A6-1. Summary of the study.** Please provide a brief summary of the research (maximum 300 words) using language easily understood by lay reviewers and members of the public. Where the research is reviewed by a REC within the UK Health Departments' Research Ethics Service, this summary will be published on the Health Research Authority (HRA) website following the ethical review. Please refer to the question specific guidance for this question.

**A6-2. Summary of main issues.** Please summarise the main ethical, legal, or management issues arising from your study and say how you have addressed them.

*Not all studies raise significant issues. Some studies may have straightforward ethical or other issues that can be identified and managed routinely. Others may present significant issues requiring further consideration by a REC, R&D office or other review body (as appropriate to the issue). Studies that present a minimal risk to participants may raise complex organisational or legal issues. You should try to consider all the types of issues that the different reviewers may need to consider.*

**3. PURPOSE AND DESIGN OF THE RESEARCH****A7. Select the appropriate methodology description for this research. Please tick all that apply:**

- Case series/ case note review
- Case control
- Cohort observation
- Controlled trial without randomisation
- Cross-sectional study
- Database analysis
- Epidemiology
- Feasibility/ pilot study
- Laboratory study
- Metanalysis
- Qualitative research
- Questionnaire, interview or observation study
- Randomised controlled trial
- Other (please specify)

**A9-2. Is there a sub-study?**

Yes  No  Not Answered

**A10. What is the principal research question/objective? Please put this in language comprehensible to a lay person.****A11. What are the secondary research questions/objectives if applicable? Please put this in language comprehensible to a lay person.****A12. What is the scientific justification for the research? Please put this in language comprehensible to a lay person.****A13. Please summarise your design and methodology. It should be clear exactly what will happen to the research participant, how many times and in what order. Please complete this section in language comprehensible to the lay person. Do not simply reproduce or refer to the protocol. Further guidance is available in the guidance notes.****A14-1. In which aspects of the research process have you actively involved, or will you involve, patients, service users, and/or their carers, or members of the public?**

- Design of the research
- Management of the research
- Undertaking the research
- Analysis of results
- Dissemination of findings
- None of the above

Give details of involvement, or if none please justify the absence of involvement.

**A14-2. Have you tested the acceptability of using patient identifiable data in this study without consent?**

Please give details.

**4. RISKS AND ETHICAL ISSUES****RESEARCH PARTICIPANTS****A15. What is the sample group or cohort to be studied in this research?**

Select all that apply:

- Blood
- Cancer
- Cardiovascular
- Congenital Disorders
- Dementias and Neurodegenerative Diseases
- Diabetes
- Ear
- Eye
- Generic Health Relevance
- Infection
- Inflammatory and Immune System
- Injuries and Accidents
- Mental Health
- Metabolic and Endocrine
- Musculoskeletal
- Neurological
- Oral and Gastrointestinal
- Paediatrics
- Renal and Urogenital
- Reproductive Health and Childbirth
- Respiratory
- Skin
- Stroke

Gender: Male and female participants

Lower age limit: Years

Upper age limit: Years

**A17-1. Please list the principal inclusion criteria (list the most important, max 5000 characters).**

**A17-2. Please list the principal exclusion criteria (list the most important, max 5000 characters).**

#### RECRUITMENT AND INFORMED CONSENT

*In this section we ask you to describe the recruitment procedures for the study. Please give separate details for different study groups where appropriate.*

**A27-1. How will potential participants, records or samples be identified? Who will carry this out and what resources will be used? For example, identification may involve a disease register, computerised search of social care or GP records, or review of medical records. Indicate whether this will be done by the direct care team or by researchers acting under arrangements with the responsible care organisation(s).**

**A30-3. Why is it not practicable for either the researcher's organisation, or the current holder of the information required by the researcher, to seek or obtain patient consent for proposed use of patient identifiable information?**

#### CONFIDENTIALITY

*In this section, personal data means any data relating to a participant who could potentially be identified. It includes pseudonymised data capable of being linked to a participant through a unique code number.*

**A37. Please describe the physical security arrangements for storage of personal data during the study?**

**A39. Please specify whether identifiers will be held in the same database as the clinical data, or in a separate database and linked through a unique study or case number. If held separately, please specify how and at what point the separation will occur. If held in the same database, will the identifiers be encrypted? If so, specify what will be encrypted and who will continue to have access.**

#### Storage and use of data after the end of the study

**A41. Where will the data generated by the study be analysed and by whom?**

**A42. Who will have control of and act as the custodian for the data generated by the study?**

Title Forename/Initials Surname

Post

Qualifications

Work Address

Post Code

Work Email

Work Telephone

Fax

**A44. For how long will you store research data generated by the study?**

Years:

Months:

**A45. Please give details of the long term arrangements for storage of research data after the study has ended. Say where data will be stored, who will have access and the arrangements to ensure security.****INCENTIVES AND PAYMENTS****A47. Will individual researchers receive any personal payment over and above normal salary, or any other benefits or incentives, for taking part in this research?** Yes     No**A48. Does the Chief Investigator or any other investigator/collaborator have any direct personal involvement (e.g. financial, share holding, personal relationship etc.) in the organisations sponsoring or funding the research that may give rise to a possible conflict of interest?** Yes     No**NOTIFICATION OF OTHER PROFESSIONALS****PUBLICATION AND DISSEMINATION****A50-1. Will the research be registered on a public database?**

The UK Policy Framework for Health and Social Care Research sets out the principle of making information about research publicly available. Furthermore: Article 19 of the World Medical Association Declaration of Helsinki adopted in 2008 states that "every clinical trial must be registered on a publicly accessible database before recruitment of the first subject"; and the International Committee of Medical Journal Editors (ICMJE) will consider a clinical trial for publication only if it has been registered in an appropriate registry. Please see guidance for more information.

 Yes     No

*Please give details, or justify if not registering the research.*

*Please ensure that you have entered registry reference number(s) in question A5-1.*

**A51. How do you intend to report and disseminate the results of the study? Tick as appropriate:**

- Peer reviewed scientific journals
- Internal report
- Conference presentation
- Publication on website
- Other publication
- Submission to regulatory authorities
- Access to raw data and right to publish freely by all investigators in study or by Independent Steering Committee on behalf of all investigators

- No plans to report or disseminate the results
- Other (please specify)

**A52. If you will be using identifiable personal data, how will you ensure that anonymity will be maintained when publishing the results?**

## 5. Scientific and Statistical Review

**A56. How have the statistical aspects of the research been reviewed? Tick as appropriate:**

- Review by independent statistician commissioned by funder or sponsor
- Other review by independent statistician
- Review by company statistician
- Review by a statistician within the Chief Investigator's institution
- Review by a statistician within the research team or multi-centre group
- Review by educational supervisor
- Other review by individual with relevant statistical expertise

*In all cases please give details below of the individual responsible for reviewing the statistical aspects. If advice has been provided in confidence, give details of the department and institution concerned.*

Title Forename/Initials Surname

Department

Institution

Work Address

Post Code

Telephone

Fax

Mobile

E-mail

*Please enclose a copy of any available comments or reports from a statistician.*

**A57. What is the primary outcome measure for the study?**

**A58. What are the secondary outcome measures? (if any)**

**A59. What is the sample size for the research? How many participants/samples/data records do you plan to study in total? If there is more than one group, please give further details below.**

Total UK sample size:

Total international sample size (including UK):

Total in European Economic Area:

Further details:

**A60. How was the sample size decided upon? If a formal sample size calculation was used, indicate how this was done, giving sufficient information to justify and reproduce the calculation.**

**A61-1. Will participants be allocated to groups at random?**

Yes  No

**A62. Please describe the methods of analysis (statistical or other appropriate methods, e.g. for qualitative research) by which the data will be evaluated to meet the study objectives.**

## 6. MANAGEMENT OF THE RESEARCH

**A63. Other key investigators/collaborators. Please include all grant co-applicants, protocol co-authors and other key members of the Chief Investigator's team, including non-doctoral student researchers.**

### A64. Details of research sponsor(s)

**A64-1. Sponsor**

**A64-2. Please explain how the responsibilities of sponsorship will be assigned between the co-sponsors listed in A64-1**

**A65. Has external funding for the research been secured?**

*Please tick at least one check box.*

- Funding secured from one or more funders
- External funding application to one or more funders in progress
- No application for external funding will be made

What type of research project is this?

- Standalone project
- Project that is part of a programme grant
- Project that is part of a Centre grant
- Project that is part of a fellowship/ personal award/ research training award
- Other

Other – please state:

**A66. Has responsibility for any specific research activities or procedures been delegated to a subcontractor (other than a co-sponsor listed in A64-1) ? Please give details of subcontractors if applicable.**

Yes  No

**A67. Has this or a similar application been previously rejected by a Research Ethics Committee in the UK or another country?**

Yes  No

*Please provide a copy of the unfavourable opinion letter(s). You should explain in your answer to question A6-2 how the reasons for the unfavourable opinion have been addressed in this application.*

**A68-1. Give details of the lead NHS R&D contact for this research:**

Title Forename/Initials Surname

Organisation

Address

Post Code

Work Email

Telephone

Fax

Mobile

*Details can be obtained from the NHS R&D Forum website: <http://www.rdforum.nhs.uk>*

**A68-2. Select the Regional Research Delivery Network for the NHS Organisation identified in A68-1:**

*For more information, please refer to the question specific guidance.*

**A69-1. How long do you expect the study to last in the UK?**

Planned start date:

Planned end date:

Total duration:

Years: Months: Days:

**A69-2. How long do you expect the study to last in all countries?**

Planned start date:

Planned end date:

Planned end date  
(clinical interventions):

Planned end date  
(all trial procedures):

Total duration:

Years: Months: Days:

**A70.**

**Definition of the end of trial, and justification in the case where it is not the last visit of the last subject undergoing the trial**

**A71-1. Is this study?**

- Single centre
- Multicentre

**A71-2. Where will the research take place? (Tick as appropriate)**

- England
- Scotland
- Wales
- Northern Ireland
- Other countries in European Economic Area

**Does this trial involve countries outside the EU?**

- Yes
- No

**A72. Which organisations in the UK will host the research? Please indicate the type of organisation by ticking the box and give approximate numbers if known:**

- NHS organisations in England
- NHS organisations in Wales
- NHS organisations in Scotland
- HSC organisations in Northern Ireland
- GP practices in England
- GP practices in Wales
- GP practices in Scotland
- GP practices in Northern Ireland
- Joint health and social care agencies (eg community mental health teams)
- Local authorities
- Phase 1 trial units
- Prison establishments
- Probation areas
- Independent (private or voluntary sector) organisations
- Educational establishments
- Independent research units
- Other (give details)

Total UK sites in study:

**A73-1. Will potential participants be identified through any organisations other than the research sites listed above?**

Yes  No

**A74. What arrangements are in place for monitoring and auditing the conduct of the research?**

**A76. Insurance/ indemnity to meet potential legal liabilities**

**Note:** in this question to NHS indemnity schemes include equivalent schemes provided by Health and Social Care (HSC) in Northern Ireland

**A78. Could the research lead to the development of a new product/process or the generation of intellectual property?**

Yes  No  Not sure

**Part B: Section 4 – Use of residual or existing stored human tissue(or other human biological materials)**

**1. What types of human tissue or other biological material will be included in the study?**

**2. Will the samples be released to the researcher:**

In fully anonymised form? (*link to stored tissue and data is broken*)

Yes  No

In linked anonymised form? (*linked to stored tissue but donor not identifiable to researchers*)

Yes  No

In a form in which the donor could be identifiable to researchers?

Yes  No

**3. Has consent been obtained previously to use the samples for research**

- Consent has been given for all samples
- Consent has been given for some of the samples
- No consent has been given

**6. Will any tissues or cells be used for human application or to carry out testing for human application in this research?**

Yes  No

**8. What types of test or analysis will be carried out on the samples?**

**9. Will the research involve the analysis or use of human DNA in the samples?**

Yes  No

**10. Is it possible that the research could produce findings of clinical significance for donors or their relatives?**

Yes  No

**11. If so, will arrangements be made to notify the individuals concerned?**

Yes  
 No  
 Not applicable

*If No, please justify. If Yes, say what arrangements will be made and give details of the support or counselling service.*

**12. Who is the holder of the samples?**

*Please tick either/both boxes as applicable.*

NHS pathology department(s) / diagnostic archive(s)  
*Specific details of each department/archive are not required*

Other research tissue bank(s) or sample collection(s)  
*Please provide further details of each bank/collection below*

**13. Will any of the samples be imported from outside the UK?**

Yes  No

**14. Please give details of where the samples will be stored, who will have access and the custodial arrangements.****15. What will happen to the samples at the end of the research? Please tick all that apply and give further details.**

Return to current holder of the samples  
 Transfer to another tissue bank

*(If the bank is in England, Wales or Northern Ireland a licence from the Human Tissue Authority will be required to store relevant material for possible further research.)*

Storage by research team pending ethical approval for use in another project

*(Unless the researcher's institution holds a storage licence from the Human Tissue Authority, or the tissue is stored in Scotland, or it is not relevant material, a further application for ethical review should be submitted before the end of this project.)*

Storage by research team as part of a new research tissue bank

*(The institution will require a storage licence for research from the Human Tissue Authority if the bank will be storing relevant material in England, Wales or Northern Ireland. A separate application for ethical review of the tissue bank may also be submitted.)*

- Storage by research team of biological material which is not "relevant material" for the purposes of the Human Tissue Act
- Disposal in accordance with the Human Tissue Authority Code of Practice
- Other
- Not yet known

*Please give further details of the proposed arrangements:*

**PART C: Overview of research sites**

**Please enter details of the host organisations (Local Authority, NHS or other) in the UK that will be responsible for the research sites. For further information please refer to guidance.**