

# (1/6)

## Lecture 4: Symplectic singularities and resolutions.

Recap: Defn A symplectic singularity is a variety  $X$  st.

- $X$  is normal
- $\exists \omega_{\text{reg}} = \text{symp. str. on } X_{\text{reg}}$  (= smooth locus)
- For some ( $\Leftrightarrow$  every) resolution  $p: \tilde{X} \rightarrow X$ , then  $p^* \omega_{\text{reg}}$  extends to  $\tilde{X}$ . ( $\tilde{\omega} \in \Gamma(\tilde{X}, \Omega_{\tilde{X}}^2)$ , unique)

Defn  $p$  as above is a symplectic resolution  $\Leftrightarrow \tilde{\omega}$  is nondegenerate ( $\Rightarrow \tilde{X}$  symplectic).

Rmk Conversely, if  $p: \tilde{X} \rightarrow X$  is resol<sup>n</sup> of sing<sup>s</sup> with  $(\tilde{X}, \tilde{\omega})$  symplectic  $\Rightarrow X$  is a symplectic sing,  $\exists \omega_{\text{reg}}$  on  $X_{\text{reg}}$  s.t.  $\tilde{\omega}$  extends  $p^* \omega_{\text{reg}}$ .

Rmk Symplectic singularity  $\Rightarrow$  Poisson.

Indeed  $X_{\text{reg}}$  symplectic  $\Rightarrow$  it is Poisson. But  $X$  normal,  $\text{codim}(X \setminus X_{\text{reg}}) \geq 2$  (implied by normality)  $\Rightarrow$  every function on  $X_{\text{reg}}$  extends (uniquely) to  $X$ .

So  $\{f, g\} = \text{unique extn of } \{f|_{X_{\text{reg}}}, g|_{X_{\text{reg}}}\}$ .

[Can do this locally on  $X$  as well.]

Rmk A symplectic resolution as in the first two definitions is automatically Poisson, since it is over  $X_{\text{reg}}$  (where  $p$  is an isomorphism).

$\therefore$  If  $X$  is Poisson and  $p: \tilde{X} \rightarrow X$  is a symplectic resolution which is also Poisson, then  $X_{\text{reg}}$  is symplectic and we are in the situation of the defns.

I.e.: The following are equivalent, where all varieties are normal:

$\{ \text{Symplectic resolutions of Poisson varieties} \}$

 $\longleftrightarrow$ 

$\{ \text{Symplectic resolutions of symplectic varieties} \}$

 $\longleftrightarrow$ 

$\{ \text{Resolutions of singularities with symplectic source} \}$

[by existence of a unique symplectic form on the regular locus]

Some general properties / characterisations:

Proposition (Beauville): A symplectic singularity is rational, Gorenstein, and canonical.

Proof:  $\omega_{\text{neg}}^{\dim X/2}$  is a nonvanishing generator of  $\Omega_{X_{\text{reg}}} = K_{X_{\text{reg}}}$  which extends to a holomorphic form on a resolution  $\Leftrightarrow$

canonical of index 1. By Reid [Canonical 3-folds]  $\Leftrightarrow$  rat. Gorenstein.  $\square$

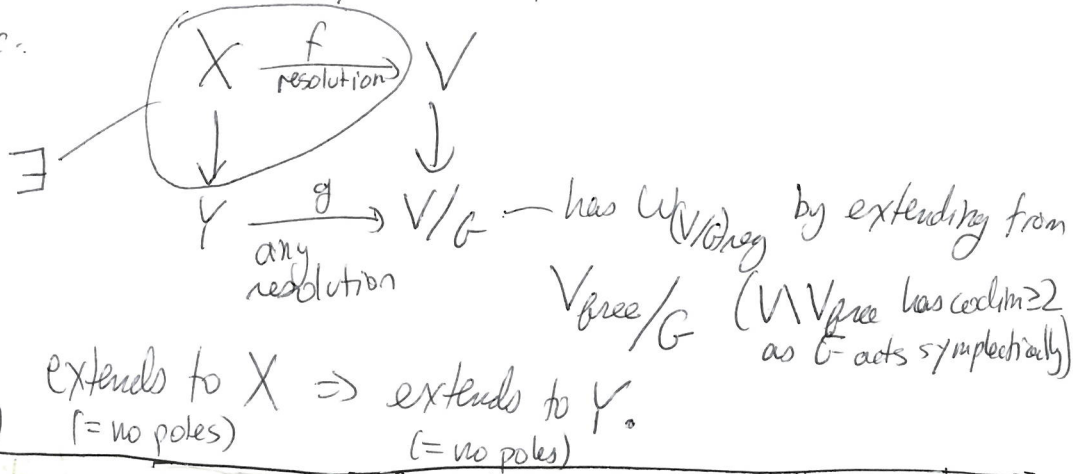
Prop (Beauville) A symplectic singularity which is locally a complete intersection has singular locus in codimension  $\leq 3$

$\leadsto$  So isolated symplectic singularities in  $\dim > 2$  are NOT lci

(e.g.  $T^*P^n \rightarrow \text{min. nilpotent } U(3) \rightarrow \text{NOT lci.}$ )  
Rmk min. nilp. orbit = isolated orbit in  $\mathbb{A}^n$  symplectic singularity with smooth projective tangent cone. (Beauville)

Prop (Beauville): If  $V = \text{symplectic sing.}$ ,  $G = \text{finite group of symplectomorphisms}$ , then  $V/G = \text{symplectic sing.}$

Sketch of proof:



Prop (Mori): If  $X$  is a symplectic singularity, then a resolution of sing  $\tilde{X} \rightarrow X$  is symplectic  $\Leftrightarrow$  it is crepant.

Proof:  $\omega_{\text{neg}}^{\dim X/2}$  trivialises  $K_X$ , and pulls back to a trivialisation of  $K_{\tilde{X}} \Leftrightarrow \tilde{\omega}$  is nondegenerate. [Note:  $\Rightarrow$  does not require symplectic sing.]

Theorem (Namikawa): If  $X$  is a normal variety and  $\omega_{\text{neg}}$  a symplectic structure on  $X_{\text{reg}}$ , then  $X$  is a symplectic singularity  $\Leftrightarrow X$  has rational Gorenstein singularities. [Already proved  $\Rightarrow$ .]

Theorem (Namikawa): A symplectic singularity is terminal  $\Leftrightarrow$  its singular locus has codimension  $\geq 4$ .

Added in lecture:

Thm (Nemikawa):  $X$  conical (+normal)  $\Rightarrow$

$\exists$  only finitely many S.D.'s up to  $\cong$ .

Thm (Kaledin): Two symplectic resolutions of any normal variety  $X$  are derived equivalent étale locally on  $X$ .

The proof mostly follows from the theorem: if  $X$  is a symplectic singularity, then  $\text{Sing}(X) := X \setminus X_{\text{reg}}$  has no 3-dimensional irreducible components. This is now a consequence of the stronger statement (last time):

Thm (Kaledin) A symplectic singularity is the union of finitely many (algebraic) symplectic leaves.

Recall: [A symplectic leaf is (locally) closed of even dimension.]  
[The open symplectic leaves are the connected components of  $X_{\text{reg}}$ .]  
 $\therefore \exists$  codim 3 components of the singular locus.

Classification results: last time:

Thm (Namikawa): 1. If a symplectic singularity is a homogeneous complete intersection, then it is  $\cong \text{Nil}(\mathfrak{g})$  for some f.d. semisimple Lie algebra  $\mathfrak{g}$ .  
2. More generally, if a symplectic singularity  $X$  is conical and  $U(X)$  is generated in degree 1, then  $X \cong G \cdot e \subseteq \text{Nil}(\mathfrak{g})$ , some  $e \in \text{Nil}(\mathfrak{g})$ , some  $\mathfrak{g}$  (f.d. s.s.).

Note: these are all symplectic singularities up to normalisation:

Thm (Beauville / Panyushev): Each symplectic leaf closure  $\overline{G \cdot e} \subseteq \text{Nil}(\mathfrak{g})$  is a symplectic singularity after normalisation.

Sketch of Proof: By the Jacobson-Morozov theorem,  $e \in \text{Nil}(\mathfrak{g})$  can be extended to an  $\mathfrak{sl}_2$ -triple  $(e, h, f)$  (i.e. satisfying relations of  $\mathfrak{sl}_2$ ),  $e, h, f \in \mathfrak{g}$ . Let  $\mathfrak{n} := \bigoplus_{i \geq 2} \ker(h-i) \subseteq \mathfrak{g}$ , a nilpotent subalgebra, and  $P := \exp(\bigoplus_{i \geq 0} \mathfrak{g}_i) \subseteq G$ , a subgroup.

Now  $P \cdot e$  is dense in  $\mathfrak{n}$  by a result in Carter's book (Prop 57.3) "Finite groups of Lie type".  
 $\Rightarrow \overline{G \cdot \mathfrak{n}} = \overline{G \cdot e}$ . Now form  $p: G \times \mathfrak{n} \rightarrow \mathfrak{g}$ .  $\Rightarrow \text{im}(p) = \overline{G \cdot e}$ .

But  $G \times_P \mathfrak{n}$  has a regular 2-form  $\omega'$ :

$$T_{(g,x)}(G \times_P \mathfrak{n}) = \mathfrak{g} \oplus \mathfrak{n} / \mathfrak{p}' = \{(-Z, [X, Z]) | Z \in \mathfrak{p}\}$$

$$\rightsquigarrow \omega'((A_1, y_1), (A_2, y_2)) = k(y_2, A_1) - k(y_1, A_2) + k(x, [A_1, A_2]), \text{ k Killing form.}$$

Computation  $\Rightarrow \omega'$  extends to  $\omega_{G \cdot e}$ .  $\square$

But NOT ALL symplectic leaf closures  $\overline{G \cdot e}$  are normal. I wrote about this on slack:  $\bullet \mathfrak{sl}_n$ : All are normal  $\bullet \mathfrak{so}_n, \mathfrak{sp}_n$ : Some are "2-branched", i.e. normalisation  $\nu: \hat{X} \rightarrow X = \overline{G \cdot e}$  is 2-branched over some  $G \cdot e' \subseteq \mathfrak{a}(G \cdot e)$ .

$\mathfrak{g}_2, e_6$ : Normal  $\overline{G \cdot e}$  are classified. For  $\mathfrak{g}_2$  all but one is normal, but the non-normal one is unibranch  $\rightarrow$  normalisation  $\cong \min(A_{12})$ , bijective (homom.)

$e_7, e_8$ : Not fully classified but there is an expected answer (in Broer 1998)

Note: Representation theory (the Springer correspondence  $\text{Simp of Weyl group } C \rightarrow \{G \cdot e, \text{Loc Syst.}\}$ ) implies a formula for the # of branches under normalisation  $\rightarrow$  we can detect all non-normal orbits that are NOT unibranch.

So Namikawa's result says:

The normal nilpotent orbit closures  $\overline{G \cdot e}$  = those s.t.  $\mathcal{O}(\overline{G \cdot e})$  is generated in degree 1.

Going beyond degree 1:

Thm (Namikawa): For each dimension  $2n$  and degree bound  $N$ ,  $\exists$  only finitely many conical symplectic singularities  $X$  of dimension  $2n$  ~~gener~~ with  $\mathcal{O}(X)$  generated in degrees  $\leq N$ , up to isomorphism.

Ex: dimension 2: All symplectic singularities are locally (formally or étale) du Val singularities, since they are rational Gorenstein (and these are classified)

List of these: (Recall:  $\Gamma \rightsquigarrow$  Dynkin diagram, vertices = non-triv  $\mathbb{C}^2/\Gamma$  = transverse slice to  $\overline{G \cdot e} \in \text{Nil of } \mathfrak{g}$ ,  $\text{codim } G \cdot e = 2$ )

$\Gamma$	Dynkin diagram	Equation	Max degree
$\mathbb{Z}/(n+1)$	$A_n$ ( $sl_{n+1}$ )	$XY = Z^{n+1}, n \geq 1$	$n+1$ (or $\frac{n+1}{2}, n$ odd)
$\widetilde{D}_{2(n-2)}$	$D_n$ ( $so_{2n}$ )	$X^2 + ZY^2 + Z^{n-1} = 0, n \geq 3$ (4)	$n-1$ ( $n-1, n-2, 2$ )
$\widetilde{A}_4$	$E_6$ ( $e_6$ )	$X^2 + Y^3 + Z^4 = 0$	6 (6, 4, 3)
$\widetilde{A}_5$	$E_7$ ( $e_7$ )	$X^2 + Y^3 + YZ^3 = 0$	9 (9, 6, 4)
$\widetilde{A}_7$	$E_8$ ( $e_8$ )	$X^2 + Y^3 + Z^5 = 0$	15 (15, 10, 6)

$\rightarrow$  only type  $A_1$  is generated in degree 1 ( $\mathbb{C}^2/\mathbb{Z}_2 \cong \text{Nil}(sl_2)$ ).

In contrast to the situation for finite quotients and nilpotent orbit closures, Hamiltonian reductions need not be symplectic singularities:

Example:  $(\mathbb{C}^{2n})^{\oplus 2m} // S_{P_{2n}}$ : (Thm (Becker): reduced if  $m \geq 2n$ , normal if  $m \geq 2n+1$ )

(reduced = smooth in codim 0 (generically); normal  $\Rightarrow$  smooth in codim 1 (outside codim 2))

Simple calculation shows:  $(\mathbb{C}^2)^2 // S_{P_2} = SL_2$  NOT reduced

[for  $A = \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in (\mathbb{C}^2)^2$ , then  $(\det A)^2 \in I_{\mu=10}$  but  $\det A \notin I_{\mu=10}$ ]

Similarly,  $(\mathbb{C}^2)^4 // S_4$  NOT normal.

In general,  $(\mathbb{C}^{2n})^{2n} // Sp_{2n} \cong$  an adjoint orbit for  $O_{2n}$  (S/G) which has two components, the adjoint orbits for  $SO_{2n}$ .

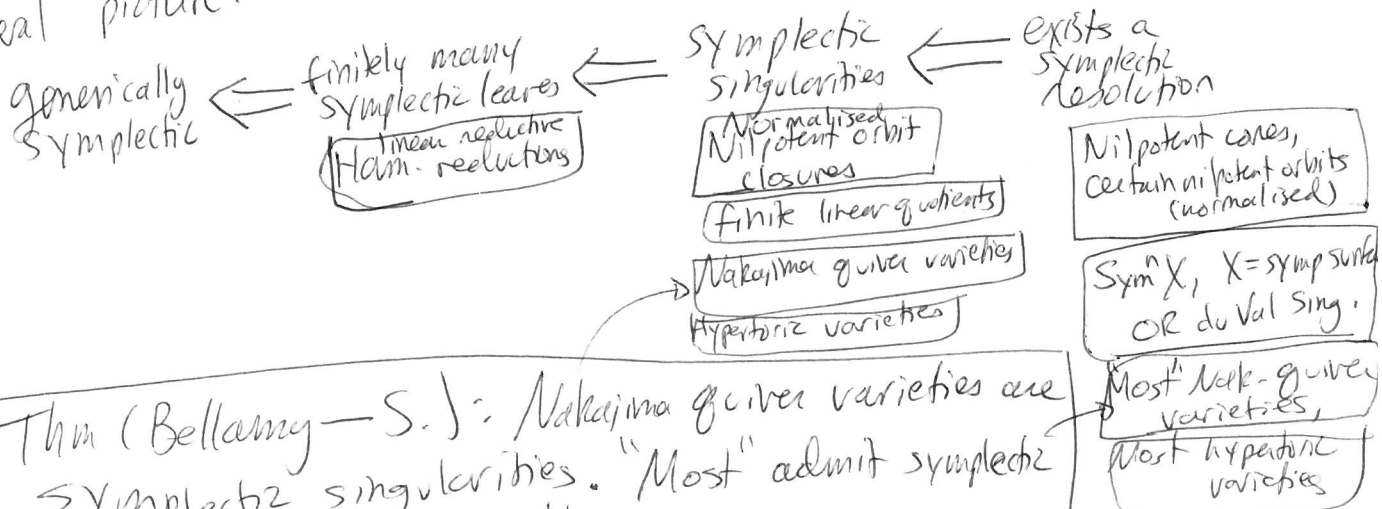
Herbig-Seaton-Schwarz: this can be fixed (conjecturally in all cases) by instead complexifying a real quotient where we first pass to the real radical of  $\mu_{Sp}^{-1}(0)$ , i.e. the real ideal of all functions vanishing on the real locus.

Nonetheless Losev proves:

Prop (Losev): For  $G \leq Sp(V)$ , reductive,  $(V$  symplectic)  
 $V // G$  has finitely many symplectic leaves.

Rmk: Although in general a Poisson variety  $X$  is not a union of its algebraic symplectic leaves (or need not have any at all), if it has, locally, finitely many analytic symplectic leaves, then these leaves must be algebraic and  $X$  is the union of them. Indeed, then the symplectic leaves are the connected components of  $X_r := \{ x \in X \mid \dim(\text{Span}(\mathcal{F}_x)) = r \} \subseteq X$ . [Exer.]

General picture:



Thm (Bellamy-S.): Nakajima quiver varieties are symplectic singularities. "Most" admit symplectic resolutions: we classify these.

Prototypical case: quiver =  $\mathbb{Q}_n$  loops, which means  $X = T^*(\mathbb{C}^n) // GL_n$  (or  $PGL_n$ ):  $g=1$ : Get  $T^*(\mathbb{C}^n) // GL_n \cong \mathbb{C}^{2n} // Sp_{2n}$  Hilbert  $\mathbb{C}^2$  OTHERS:  $\neq$  S.R.

Prototypical case:  $\mathbb{P}^n$  arrows, meaning: (6/6)

$X = T^*(\mathbb{C}P^n) // \mathbb{C}^*$ . Cases:  $k=1: X = \mathbb{C}^{2g}$  smooth  
 $g=1: X = T^*\mathbb{C}P^1 // \mathbb{C}^* \cong \mathbb{C}^{2n}/S_n \leftarrow \text{Hilb}^n \mathbb{C}^2$   
Gun-Ginzburg, Symp.

$(g,n) = (2,2):$  O'Grady observed that a symplectic resolution <sup>Joseph</sup>  
 $\tilde{X} \rightarrow X$  is given by blowing up the pet-theoretic (ie reduced ideal of the) singular locus (one time).

OTHER cases: Thm (Kahdim-Lehn-Sorger):  $\nexists$  symplectic resolution of singularities.

Why? They show that these are factorial + terminal

More general: Defn  $\mathbb{Q}$ -factorial means some multiple of every Weil divisor is Cartier.

local rings are UFDs  
 $\uparrow$  symplectic sing  
 $\text{codim}(\text{sing}(X)) \geq 4$   
 Every Weil divisor is Cartier.

Van-de Waerden punty: If  $X$  is factorial (or even  $\mathbb{Q}$ -factorial), and  $\rho: \tilde{X} \rightarrow X$  is a resolution of singularities, then the exceptional locus ( $\rho$  is not injective) is a divisor.

But terminal means that:

$$K_{\tilde{X}} = \rho^* K_X + \sum \alpha_i \epsilon_i, \quad \epsilon_i \text{ are the exceptional divisors, } \alpha_i > 0 \forall i.$$

So terminal + ( $\mathbb{Q}$ -)factorial  $\Rightarrow K_{\tilde{X}} \neq \rho^* K_X$ , ie,  $\rho$  not crepant. So  $\nexists$  crepant resolution  $\Rightarrow \nexists$  S.R.

BCHM, Corollary 1.4-3 (minimal model programme) implies that every symplectic singularity admits a relative minimal model which is  $\mathbb{Q}$ -factorial.

Here it implies:  $\exists$  crepant  $\rho: \tilde{X} \rightarrow X$ ,  $\tilde{X}$  terminal +  $\mathbb{Q}$ -factorial.  
 $X = \text{symp sing}$  " $\mathbb{Q}$ -factorial terminalisation"  $\Rightarrow \tilde{X}$  has NO S.R. if sing.

Thm (Namikawa): If  $X$  is conical, then one  $\mathbb{Q}$ -factorial terminalisation is smooth  $\Leftrightarrow$  they all are. So  $\tilde{X}$  is smooth  $\Leftrightarrow X$  admits a S.R.